

FORM – 2

(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on:31-12-2015

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART-A

Name of Fund Life Fund

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
B.	<u>As on Date ²</u>								
	9.10% STERLITE BS 05-04-2023	ECOS	4.94	05-APR-2014	CRISIL	AA+	AA	07-AUG-2015	NIL
	9.55% HINDALCO IND LTD DB 25-04-2022	OLDB	3.46	25-APR-2012	CRISIL	AA+	AA-	03-AUG-2015	NIL
	9.55% HINDALCO IND LTD DB 27-06-2022	OLDB	30.33	26-JUN-2012	CRISIL	AA+	AA-	03-AUG-2015	NIL
	9.60% HINDALCO IND LTD BS 02-08-2022	OLDB	7.50	02-AUG-2013	CRISIL	AA+	AA-	03-AUG-2015	NIL

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date:30/01/2016

Signature _____

Full Name and Designation : MR.G.VAIDYANATHAN
Chief Finance Officer

Note:

- 1 Provide details of Down Graded Investments during the Quarter.*
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.*
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.*
- 4 Category of Investment (COI) shall be as per INV/GLN/001/2003-04*

FORM – 2

(Read with Regulation 10)

Name of the Insurer: SHRIRAM LIFE INSURANCE CO LTD

Registration Number: 128

Statement as on:31-12-2015

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART-A

Name of Fund Pension & Annuity Fund

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter</u> ¹								
B.	<u>As on Date</u> ²								
	9.10% STERLITE BS 05-04-2023	ECOS	1.98	05-APR-2014	CRISIL	AA+	AA	07-AUG-2015	NIL

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Date:30/01/2016

Signature

Full Name and Designation : MR.G.VAIDYANATHAN

Chief Finance Officer

Note:

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(Read with Regulation 10)

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Registration Number: 128

Statement as on:31-12-2015

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

PART-A

Name of Fund ULIP Fund

Rs Crore

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	<u>During the Quarter ¹</u>								
B.	<u>As on Date ²</u>								
	9.55% HINDALCO IND LTD DB 25-04-2022	OLDB	5.08	25-APR-2012	CRISIL	AA+	AA-	03-AUG-2015	NIL
	9.55% HINDALCO IND LTD DB 27-06-2022	OLDB	1.48	27-JUN-2012	CRISIL	AA+	AA-	03-AUG-2015	
	9.55% HINDALCO IND LTD DB 27-06-2022	OLDB	3.45	27-JUN-2012	CRISIL	AA+	AA-	03-AUG-2015	NIL

CERTIFICATION

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Date:30/01/2016

Signature _____

Full Name and Designation : MR.G.VAIDYANATHAN
Chief Finance Officer

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